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Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, California 90045

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TO: Commissioner for Patents

Attn: Examiner Cindy Nguyen

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FROM:

OUR REF.:

TELEPHONE:

George H. Gates STL919990184US3

(310) 642-4146

Total pages, including cover letter: 11

PTO FAX NUMBER: 571-273-8300

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Title of Document Transmitted:	TRANSMITTALS AND RESPONSE UNDER 37 C.F.R §1.116
Applicant:	David E. Simmen
Serial No.:	10/807,871
Filed:	March 24, 2004
Group Art Unit:	2161
Title:	QUERY OPTIMIZATION TECHNIQUE FOR OBTAINING IMPROVED CARDINALITY ESTIMATES USING STATISTICS ON PRE-DEFINED QUERIES
Our Ref. No.:	STL919990184US3

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application.

Name: George H. Gates

Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

February 1, 2007

GHG/cF

G&C 30571.272-US-C1

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Due Date: February 5, 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

David E. Simmen

Examiner:

Cindy Nguyen

Serial No.:

10/807,871

Group Art Unit:

2161

Filed:

March 24, 2004

Docket:

STL919990184US3

Title:

QUERY OPTIMIZATION TECHNIQUE FOR OBTAINING IMPROVED CARDINALITY

ESTIMATES USING STATISTICS ON PRE-DEFINED QUERIES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trudemark Office on February 1, 2007.

By:_______Name: Geo/se H. Gates

MAIL STOP AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.

□ Response Under 37 C.F.R. §1.116.

CLAIMS PRESENT

COPALIZO I LEGORIA I									
Claims Remaining:	Highest Number	Number		Rate		Fee			
	Previously Paid For:	Extra							
Total Claims									
33	33	0	×	\$50,00	=	\$0.00			
Independent Claims									
3	3	0	x	\$200.00	=	\$0.00			
MULTIPLE DEPENDENT CL	AIM FEE					\$0.00			
TOTAL FILING FEE						\$0.00			

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignce of the present application. A duplicate of this paper is enclosed.

Customer Number 45729

GATES & COOPER LLP

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045 (310) 641-8797 Name: George H. Gates

Rcg. No.: 33,500

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Total Claims						
33	33	0	X	\$50.00	-	\$0.00
Independent Claims						
3	3	0	X	\$200.00	=	\$0.00
MULTIPLE DEPENDENT CL	AIM FEE		•			\$0.00
TOTAL FILING FEE						\$0.00

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Name: George H. Gates

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G&C 30571.272-US-C1

RESPONSE UNDER 37 C.F.R. 1.116 EXPEDITED PROCEDURE EXAMINING GROUP 2161

Due Date: February 5, 2007

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Tide:

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Nume: George H. Gate

RESPONSE UNDER 37 C.F.R. §1.116

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Dear Sir:

In response to the Office Action dated December 5, 2006, which was made final, please enter the following remarks in the above-identified application.